

Japan's International Cooperation Policies
Fall 2017
Kyoto Consortium for Japanese Studies

Instructor: Saya Kiba

Tuesdays and Thursdays, 13:10-14:40

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Course Overview

This course will introduce you to Japan's international cooperation policies and practices to developing countries. The lectures will focus not only the Official Development Assistance (ODA), but also civil society organizations, private sector, and military as "emerging" aid providers.

While most of Japan's ODA was implemented in parallel with postwar settlements in the form of reparation payments in the early years, Japan became one of the "top donor" countries around the world in the 1990s. ODA provides one of the most important tools for Japan's agile implementation of diplomacy that realizes both the interests of the international community and those of Japan. At the same time, it is vital for the Japanese government not only to act alone, but to collaborate with various stakeholders including other donors and emerging economies, private companies, local governments, NGOs, and universities.

At the same time, Japan's aid policies have been deeply linked with its security policies. Japan's Development Cooperation Charter, which was revised in 2015, assumed a larger role in ODA in achieving regional peace and stability, including in peacebuilding and in sharing universal values, reflecting political and security environment transforming all over the world. A recent ODA White Paper emphasizes that the 2030 Agenda for Sustainable Development (including Sustainable Development Goals: SDGs) adopted at the United Nations Summit in 2015 is in line with the principles enshrined in the Charter and Prime Minister Abe's "Proactive Contribution to Peace" concept.

The class will read studies and research accumulated in the field of international aid as well as Japan's recent policy documents to examine how the aid policies and programs have been created, implemented, and evaluated. It aims to explore three key queries: 1) What does the aid agenda and Japan's aid policies look like on the ground in developing countries? and 2) Have any regionally specific features emerged, particularly in terms of actors and type of financing and projects/programs?

Course Objectives

- 1) Students will be able to understand the basic structure of Japan's Official Development Assistance policy and its historical background
- 2) Students will be able to understand how international norms and guidelines influence Japan's aid policies.
- 3) Students will be able to understand how non-government actors such as private enterprises, influence the basic framework and dynamism of US-Japan security relations and its challenges.

- 4) Students will be able to understand characteristics of emerging donors and their impact on recipient countries as well as the donors' community.

Assignments and Evaluation

- 1) Attendance and class participation (30%)
Students will have "summary and discussion" sessions at least once a week. Each student is assigned to serve as a "discussion facilitator." Based on the assigned readings, he/she will prepare a short (2-3 pages) "reaction paper," which could count for about 4% of the course grade, including a few questions that she/he plans to raise in class, and deliver a 4-5 minute summary of the paper in class.
- 2) Short paper assignment (10%)
5 pages paper assignment must be submitted in the end of September. Topic will be instructed during the class.
- 3) Mid-term paper and presentation (30%)
Choose and analyze a foreign aid project that Japan's state or non-state actors have done in the past ten years. The paper should cover 1) donor's interest, 2) bilateral and multilateral foreign relations with recipient country, 3) impact on the recipients (individuals, households, community, and the state), and future challenges. Depending on class size, we will do the mid-term project in groups. Quote at least two real comments/opinion from Japanese people (friends, host families, other instructors, etc.)
- 4) Final paper and presentation (30%)
Based on readings and classes of this course, develop one's own aid project using Project Cycle Management framework (5-10 million yen, 1 year). Quote at least two real comments/opinion from Japanese people (friends, host families, other instructors, etc.) Select one of the key concepts/elements of development aid from the below. First discuss / explain the concept/s as indicated in the question. Second, select one country (or more) to explain them in your case studies.
 - A. Sustainable Development Goals
 - What are the major characteristics of your project? Raise two indicators of SDG and explain how it affects your project.
 - Explain problems and challenges in the efforts of international cooperation towards SDG in order to pursue your project.
 - What are the difficulties and challenges for poverty reduction? Explain how and why developing countries have struggled with poverty reduction.
 - B. National Interests
 - What are the positive and negative functions of national interests in development? Raise some examples from developing countries and explain why and how national interests of donor countries played (and did not play) a role in development.
 - Do bilateral relations matter to the developing world? Describe some important historical moments/events and explain how and why they contributed to bilateral development aid.
 - C. Roles of NGOs in development
 - What roles do NGOs play in the democratization processes in developing countries? Describe some examples of NGOs and explain their functions in democracy.
 - Explain some problems and challenges they are facing. Raise some examples in your project.

*Attendance policy: Missing two lectures without an appropriate reason will automatically drop your attendance grade by one full letter grade. Egregious tardiness (three or more) will yield the same penalty. Four unexcused absences from lectures over the semester will result in the class participation grade turning to zero. Missed or late paper assignments without an appropriate reason will automatically drop your paper and presentation grade by one full letter grade.

Accommodating Students with Disabilities

If you are a student with a disability and have a DS-certified ‘Accommodation Letter’ please see the KCJS resident director to confirm your accommodation needs. If you believe that you have a disability that requires accommodation, you can contact your home school's Disability Services or Columbia's Disability Services at disability@columbia.edu. The Office of Global Programs (OGP) can also assist you to connect with Columbia's Disability Services.

Textbook

Students are recommended (but not required) to purchase the following three books:

- Kato, Hiroshi, John Page, and Shimomura, Yasutami. *Japan’s Foreign Development Assistance: Foreign Aid and the Post-2015 Agenda*. Palgrave. 2016.(e-book \$89, Hardcover \$120)
- Sumner, Andy and Michael Tribe. *International Development Studies: Theories and Methods in Research and Practice*. 2008. Sage. (Paperback \$64)

* Other book chapters, journal articles, and official reports will be distributed in PDF or paper format.

* Upon request, the instructor will make recommendations on readings written in Japanese language.

Schedule

Session 1 (September 2, 10:00 to 11:30 as an irregular arrangement)

Introduction

The class will review structure, history, and characteristic of Japan’s Official Development Assistance (ODA). White Paper briefly introduces the Japan’s post-war aid policies, particularly the track record and priority issues of ODA.

Required Reading:

- *Japan’s Official Development Assistance (White Paper) 2016*. Available at http://www.mofa.go.jp/policy/oda/page_000017.html [forthcoming] YouTube Video “Japan’s Development Assistance, a Conversation with Mr Hiroshi Kato” <<https://www.youtube.com/watch?v=5XGx6M3xbEs>>

September 5 and 7

No Class.

Work on developing research questions and hypothesis based on Annex charts of Japan’s Official Development Assistance (White Paper) 2016. Student are also required to watch a movie “Poverty, Inc.” and check its background on website by September 26.

Session 2 (September 12)**Japan's Development Assistance and its Foreign Policy 1954-2014**

ODA is one of the key element of foreign policy. The class will explore history of Japan's ODA and discuss how its policies, strategies, and guidelines have been formed and what kind of national interests have been focused on.

Required Reading:

- Kato, Hiroshi, John Page, and Shimomura, Yasutami. *Japan's Development Assistance: Foreign Aid and the Post-2015 Agenda*. Palgrave. 2016. (Chapter 1)
- *The Constitution of Japan* (Article 9). Available at http://japan.kantei.go.jp/constitution_and_government_of_japan/constitution_e.html
- Lam, Peng Er. *Japan's Relations with Southeast Asia: The Fukuda Doctrine and Beyond*, Routledge, 2015. (Introduction, Chapter 1 and 2)

Session 3 (September 14)**Change and Shifting Aid Policy Directions**

The class will particularly focus on ODA Charter, which address development challenges and updated ODA policy, to deal with the serious problems that developing countries face. Major revision was done in 2003 and 2015.

Required Reading:

- Kato, Hiroshi, John Page, and Shimomura, Yasutami. *Japan's Development Assistance: Foreign Aid and the Post-2015 Agenda*. Palgrave. 2016. (Chapter 4 and 5)
- Japan's Official Development Assistance Charter (August 2003). Available at <http://www.mofa.go.jp/policy/oda/reform/charter.html>
- Development Cooperation Charter (February 2015). Available at http://www.mofa.go.jp/policy/oda/page_000138.html
- *Japan-U.S. Security Treaty*. Available at <http://www.mofa.go.jp/region/n-america/us/q&a/ref/1.html>
- *The Guidelines for Japan-U.S. Defense Cooperation* (April 27, 2015). Available at http://www.mod.go.jp/e/d_act/anpo/shishin_20150427e.html

Suggested Reading:

- Up-to-date newspapers and magazine articles (TBD)

Session 4 (September 19)**Fieldtrip to Kyoto Prefectural International Center (near Kyoto Station)**

The class will visit Kyoto Prefectural International Center (KPIC), which promotes internationalization with the cooperation of Kyoto-based enterprises, educational institutions, private organizations and ordinary people. Participation is mandatory. Students needs to review the KPIC website <<https://www.kpic.or.jp/>>

Session 5 (September 21)**United Nations and DAC-OECD**

The class will analyze how Japan's policy can really contribute to the 2030 Agenda for Sustainable Development and what are the major challenges. Recently Japan's ODA has focused on poverty reduction, sustainable growth, addressing global issues, and peacebuilding.

Required Reading:

- Kato, Hiroshi, John Page, and Shimomura, Yasutomi. Japan's Foreign Development Assistance: Foreign Aid and the Post-2015 Agenda. Palgrave. 2016. (Chapter 15 and 17)
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- Emma Mowdsley, "From Recipients to Donors: Emerging powers and the changing development landscape" Zed Books (2012), Chapter 1

Session 6 (September 26)

International Norm of Foreign Aid

The class will explore global agreements on key priority issues/areas and discuss how each donor (particularly Japan) has balanced national interests and international rules and norms. The class will also discuss the contents of "Poverty, Inc."

Required Reading:

- *The Sphere Handbook 2011*. Available at <http://www.sphereproject.org/resources/download-publications/?search=1&keywords&language=english&category=22>

Session 7 (September 28)

International Aid and Disaster Relief

The class will briefly review Japan's experience to as the recipient of foreign aid after the 1995 Kobe Earthquake and 2011 Great East Japan (Tohoku) Earthquake.

*Students are required to submit a short paper (5 pages) on this day for initial evaluation.

Required Reading:

- Tsunekawa, Keiichi eds. *Five Years After: Reassessing Japan's Responses to the Earthquake, Tsunami, and the Nuclear Disaster*. University of Tokyo Press. 2016.(Chapter 1, 2, 8, and 9)
- Samuels, Rechar J., 3.11:Disaster and Change in Japan, Cornell University Press, 2013.(Chapter 3 and 4)
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Session 8 (October 3)

Policy Simulation Game with Doshisha Students on International Disaster Relief Activities

The class will meet with 1st year students in the Faculty of Policy Studies, Doshisha University, to discuss how the international community must or must not react in cases of massive disaster.

* It will be a joint class with my students in Policy Studies. Class will be conducted in English.

Session 9 (October 5)

Democracy and democratization in development

Is democracy necessary for economic development in the developing world? Why have some non-democratic / less democratized countries realized economic growth? The class will review challenges of anti-corruption to democratization in the developing world.

Required Reading:

- Burnell, Peter et al. eds., *Politics in the Developing World* [4th edition.], New York: Oxford UP, 2011. (Chapter 1 and 2)
- Sumner, Andy and Michael Tribe. *International Development Studies: Theories and Methods in Research and Practice*. 2008. Sage. (Chapter 1 to 5)

Session 10 (October 10)

Disaster Relief and International Norm

The class will review read basic international guidelines and discuss how each donor (particularly Japan) has balanced domestic interests and international rules and norms particularly in civil-military coordination in humanitarian assistance and disaster relief.

Required Reading:

- Asia-Pacific Regional Guidelines for the Use of Foreign Military Assets in Natural Disaster Response Operations. Available at <https://ochanet.unocha.org/p/Documents/APC-MADRO%20Draft%20Guidelines%20V8.0%20%2823%20November%202010%29.pdf>
- The Guidelines on the Use of Military and Civil Defense Assets in Disaster Response (Oslo Guidelines).
- Yasutomi, Atsushi and Saya Kiba, " Civil-Military Cooperation Strategy for Disaster Relief in Japan –," *Liaison* Vol. IX-1, Center for Excellence in Disaster Management and Humanitarian Assistance, Hawaii, pp.14-17. 2017. [<https://www.cfe-dmha.org/Liaison>]

Session 11 (October 12)

Student Presentations I (Mid-Term)

Students will make 15 minute presentations of their mid-term papers. Instructor will invite some Doshisha students as audience.

Session 12 (October 17)

Student Presentations I (Mid-Term)

Students will make 15 minute presentations of their mid-term papers. Instructor will invite some Doshisha students as audience.

Session 13 and 14 (October 19 and 24)

Project Cycle Management

The class will focus on basic concept and framework of Project Cycle Management, including project design matrix, which is widely used in aid projects by state and non-state actors. Students will use this framework in their final paper.

Required Reading:

- Sumner, Andy and Michael Tribe. *International Development Studies: Theories and Methods in Research and Practice*. 2008. Sage. (Chapter 6 and 7)

- European Commission, *Project Cycle Management Training Handbook*, ITAD Ltd. (Information Training and Agricultural Development), 1999.

Session 15 (October 26)

Japan and Sustainable Development Goals(SDGs)

Required Reading:

- Kato, Hiroshi, John Page, and Shimomura, Yasutami. *Japan's Foreign Development Assistance: Foreign Aid and the Post-2015 Agenda*. Palgrave. 2016. (Chapter 19)

November 7

No class.

Review *Project Cycle Management Training Handbook* and start designing a project.. Also prepare for Disaster Map project which is scheduled on November 14.

Session 16 (November 9)

The State and NGOs

The class will deal with Japan's aid providers other than the government including NGOs, private companies, universities. The class will take a look at a breakdown of income of some major Japan-based international NGOs. In general, independent revenues including donations, membership dues profits from fund management and profit from independent programs accounts remain smaller than Western countries, and many organizations depend on the Project Subsidies from government agencies, that is unique to Japan.

Required Reading:

- Shigetomi, Shinichi. *The State and NGOs*. ISEAS, 2002. (Chapter 1, 6, 16)

Session 17 (November 14)

Project with Doshisha Students: Making a Disaster Map for Foreigners

The class will again meet with 1st year students of Faculty of Policy Studies, Doshisha University, who are making an English version of a disaster map for Doshisha-based foreign students who do not speak Japanese.

* It will be joint class with my students in Policy Studies. Class will be done in English.

**Instructor may prepare one more follow-up class (optional) on November 23 (holiday) to follow-up the project.

Session 18 (November 16)

NGOs in Japan

What are challenges and uniqueness of Japan's international cooperation NGOs? The class will analyze the basic structure of Japan's NGOs.

Required Reading:

- Martens, Bertin, Uwe Mummert, Peter Murrell, and Paul Seabright, *The Institutional Economy of Foreign Aid*. Cambridge University Press, 2002. (Chapter 1-3)
- Japan International Cooperation Agency (JICA), *Understanding Japanese NGOs from Facts and Practices*, 2008. [https://www.google.co.jp/url?sa=t&rct=j&q=&esrc=s&source=web&cd=1&ved=0ahUKEwjBq4rhlLrVAhWMe7wKHUhwDXQQFgggMAA&url=https%3A%2F%2Fwww.jica.go.jp%2Fenglish%2Fpublications%2Fjica_archive%2Fbrochures%2F2008%2Fpdf%2Fngo_dis.pdf&usq=AFQjCNHdlbdXAGAY0-5fdpf_6_G7jU8NrA]
- Kato, Hiroshi, John Page, and Shimomura, Yasutami. *Japan's Foreign Development Assistance: Foreign Aid and the Post-2015 Agenda*. Palgrave. 2016. (Chapter 20)

Session 19 (November 21)

Guest Speakers Session (TBD)

November 23

National Holiday. 13:10-14:40 is reserved for a follow-up class for “Making a Disaster Map for Foreigners” project with Doshisha students. Participation is optional.

Session 20 (November 25 (Saturday) or 26 (Sunday))

Fieldtrip to Disaster Reduction and Human Renovation Institution (Kobe Earthquake Memorial Museum) and JICA Kansai, Kobe City

The class will visit Disaster Reduction and Human Renovation Institution (DRI) and its museum to learn Japan's foreign relations after Hanshin-Awaji Earthquake 1995. The class will also visit JICA Kansai Office and JICA Plaza, which has beside the museum. Participation is mandatory. Students need to review the DRI website < <http://www.dri.ne.jp/en> > Some Doshisha students will join.

Session 21 (November 28)

New Version of Aid in East Asia

The class will discuss how “new donors” in East Asia: China and South Korea, private companies, or China-led Asian Infrastructure Investment Bank (AIIB) are different from traditional aid providers by OECD-DAC countries and what are challenges.

Required Reading:

- Kato, Hiroshi, John Page, and Shimomura, Yasutomi. *Japan's Foreign Development Assistance: Foreign Aid and the Post-2015 Agenda*. Palgrave. 2016. (Chapter 8 and 9)
- Emma Mowdsley, "From Recipients to Donors: Emerging powers and the changing development landscape" Zed Books (2012), (Chapter 2 and 3)
- YouTube Video “ODA Story of the Republic of Korea”
- <<https://www.youtube.com/watch?v=1h4dTzWTTL8>>

Session 22 (November 30)

Aid and Defense Cooperation

The class will concentrate on the Self Defense Forces as an emerging aid provider. Foreign assistance missions by military organizations and civil-military coordination on the ground have been very important humanitarian assistance and disaster relief as well as Peace Support Missions. The class will review the framework and identify Japan's significance and challenges.

Required Reading:

- Brown, Stephen and Jorn Gravingholt. *The Securitization of Foreign Aid*. Palgrave. 2016. (Chapter 1 and 5)

Session 23 (December 5)

Student Presentations

Each student will make a 15-20 minute presentation of their final paper. Instructor will invite some Doshisha students as audience.

Session 24 (December 7)

Student Presentations

Each student will make a 15-20 minute presentation of their final paper. Instructor will invite some Doshisha students as audience.